

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Docket Number 04-6174		Application Number 10/767,699	
				Applicants Thomas J. DALEY, et al.			
				Filing Date January 29, 2004		Group Art Unit 3691	
U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
/BKS/		Daisuke Ochiai, "Electronic Finance and Security Trading, 'London Stock Exchange Transfers to New Trading System'", Capital Market Quarterly, Autumn 1997, Vol. 1, No. 2, Nomura Research Institute, 1 November 1997, pp.102-108.					
/BKS/		Japanese Office Action with English translation for Application No. 2006-551588, dated August 31, 2010 (8 pages).					
/BKS/		Japanese Office Action with English translation for Application No. 2006-551589, dated August 31, 2010 (7 pages).					
		USPTO Notice of Allowance and Fees Due for Application No. 10/767588, September 7, 2010 (3 pages).					
Examiner Signature	/Bijendra K. Shrestha/				Date Considered	12/20/2010	